

05/11/01
J1052 U.S. PTO

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05-14-01

PTO/SB/05 (03-01)

Approved for use through 10/31/2002 OMB 0651-0032
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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for nonprovisional applications
under 37 CFR 1.53(b))

Attorney Docket No.	8557
First Inventor	Timothy William Dake, et al.
Assignee	The Procter & Gamble Co.
Title	Compositions Having Enhanced Aqueous Solubility and Methods of Their Preparation
Express Mail Label No.	EK952553070US

APPLICATION ELEMENTS
See MPEP Chapter 600 concerning utility patent application contents.

ADDRESS TO: **Commissioner for Patents
Box Patent Application
Washington, D.C. 20231**

- ☒ Free Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
- ☐ Applicant claims small entity status
(see 37 CFR §1.27)
- ☒ Specification Total Pages [46]
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- ☐ Drawing(s) (35 USC §113) Total Sheets ☐
- Oath or Declaration Total Pages [2]
 - ☐ Newly executed (original or copy)
 - ☐ Copy from a prior application (37 CFR §1.63(d))
(for continuation/divisional with Box 18 complete)
 - ☐ **DELETION OF INVENTORS**
Signed statement attached deleting inventor(s)
named in the prior application.
see 37 CFR §§1.63(d)(2) and 1.33(b).
- ☐ Application Data Sheet. See 37 CFR §1.76

- ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - ☐ Computer Readable Form (CRF)
 - Specification Sequence Listing on:
 - ☐ CD-ROM or CD-R (2 copies); or
 - ☐ Paper
 - ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- ☐ Assignment Papers (cover sheet & document(s))
- ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
- ☐ English Translation Document (if applicable)
- ☐ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO-1449 Citations
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
- ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
- ☐ Nonpublication Request under 35 U.S.C.
122(b)(2)(B)(i). Applicant must attach form
PTO/SB/35 or its equivalent.
- ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR §1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No. 1

Prior application information:

Examiner:

Group/Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

NAME	Kelly L. McDow-Dunham		
ADDRESS	The Procter & Gamble Co.		
CITY	6071 Center Hill Avenue	STATE	Ohio
COUNTRY	Cincinnati	ZIP CODE	45224
	USA	TELEPHONE	(513) 634-0102
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Name (Print/Type)	Kelly L. McDow-Dunham	Registration No. (Attorney/Agent)	43,787
Signature	<i>Kelly L. McDow-Dunham</i>	Date	May 11, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

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**FEE TRANSMITTAL
for FY 2001**

Patent fees are subject to annual revision.

Complete if Known

Application Number	
Confirmation Number	
Filing Date	May 11, 2001
First Named Inventor	Timothy William Dake, et al.
Examiner Name	
Group/Art Unit	
Attorney Docket No.	8557

TOTAL AMOUNT OF PAYMENT (\$) 2,434.00**METHOD OF PAYMENT (check one)**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 16-2480
Deposit Account Name The Procter & Gamble Company

- ☒
- Charge Any Additional Fee Required Under status. See 37 CFR §127
-
- 37 C.F.R. §§1.16 and 1.17

FEE CALCULATION**1. BASIC FILING FEE – Large Entity**

Code (\$)	Fee Description	Fee Paid
101 710	Utility filing fee	<input checked="" type="checkbox"/>
106 320	Design filing fee	<input type="checkbox"/>
107 490	Plant filing fee	<input type="checkbox"/>
108 710	Reissue filing fee	<input type="checkbox"/>
114 150	Provisional filing fee	<input type="checkbox"/>

SUBTOTAL (1) (\$) [710]**2. EXTRA CLAIM FEES – Large Entity**

	Extra Claims	Below Fee	Fee Paid
Total Claims	[98] - 20** = [78]	x	[18] = [1404]
Independent Claims	[7] - 3** = [4]	x	[80] = [320]
Multiple Dependent		[0] =	[0]

** or number previously paid, if greater; For Reissues, see below

Code (\$)	Fee Description
103 18	Claims in excess of 20
102 80	Independent claims in excess of 3
104 270	Multiple dependent claim, if not paid
109 80	**Reissue independent claims over original patent
110 18	**Reissue claims in excess of 20 & over original patent

SUBTOTAL (2) (\$) [1724]**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Code (\$)	Fee Description	Fee Paid
105 130	Surcharge-late filing fee or oath	<input type="checkbox"/>
127 50	Surcharge-late provisional filing fee or cover sheet	<input type="checkbox"/>
139 130	Non-English specification	<input type="checkbox"/>
147 2,520	For filing a request for ex parte reexamination	<input type="checkbox"/>
112 920*	Requesting publication of SIR prior to Examiner's action	<input type="checkbox"/>
113 1,840*	Requesting publication of SIR after Examiner's action	<input type="checkbox"/>
115 110	Extension for reply within 1 st month	<input type="checkbox"/>
116 390	Extension for reply within 2 nd month	<input type="checkbox"/>
117 890	Extension for reply within 3 rd month	<input type="checkbox"/>
118 1,390	Extension for reply within 4 th month	<input type="checkbox"/>
128 1,890	Extension for reply within 5 th month	<input type="checkbox"/>
119 310	Notice of Appeal	<input type="checkbox"/>
120 310	Filing a brief in support of an appeal	<input type="checkbox"/>
121 270	Request for oral hearing	<input type="checkbox"/>
138 1,510	Petition to institute a public use proceeding	<input type="checkbox"/>
140 110	Petition to revive - unavoidable	<input type="checkbox"/>
141 1,240	Petition to revive - unintentional	<input type="checkbox"/>
142 1,240	Utility issue fee (or reissue)	<input type="checkbox"/>
143 440	Design issue fee	<input type="checkbox"/>
144 600	Plant issue fee	<input type="checkbox"/>
122 130	Petitions to the Commissioner	<input type="checkbox"/>
123 50	Petitions related to provisional applications	<input type="checkbox"/>
126 180	Submission of Information Disclosure Statement	<input type="checkbox"/>
146 710	Filing a submission after final rejection (37 CFR § 1.129(a))	<input type="checkbox"/>
149 710	For each additional invention to be examined (37 CFR § 1.129(b))	<input type="checkbox"/>
179 710	Request for Continued Examination (RCE)	<input type="checkbox"/>
169 710	Request for expedited examination of a design application	<input type="checkbox"/>
	Other fee (specify) _____	<input type="checkbox"/>
	Other fee (specify) _____	<input type="checkbox"/>

* Reduced by Basic Filing Fee Paid **SUBTOTAL (3) (\$)** [0]**SUBMITTED BY**

Name (Print/Type)	Kelly L. McDow-Dunham	Registration No. (Attorney/Agent)	43,787	Complete (if applicable)
Signature	<i>Kelly L. McDow-Dunham</i>	Telephone	(513) 634-0102	Date
				May 11, 2001

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